strategic insight™

SI Research

2017 Core Research Calendar

PRACTICE AREA	REPORT NAME	COVERAGE	FREQUENCY
Product Strategy + Development	SI FlowWatch Series	U.S.	Monthly
Product Strategy + Development	Monthly Cross-Border Snapshot	Global	Monthly
Product Strategy + Development	European Country Memos	Global	Bi-monthly
Product Strategy + Development	Exchange-Traded Products (ETPs) Insights	U.S.	Quarterly
Product Strategy + Development	Global Fund Insights	Global	Quarterly
Product Strategy + Development	Mutual Funds Insights	U.S.	Quarterly
Product Strategy + Development	New Products in Global Markets	Global	Quarterly
Product Strategy + Development	Mutual Fund Fee Benchmarking Service	U.S.	Annual
Retail distribution	Distribution Insights—Mutual funds and ETFs	U.S.	Quarterly
Retirement	DC Retirement Insights Focus	U.S.	Quarterly
Institutional asset mgmt.	Subadvisory Insights Focus	U.S.	Quarterly

Product strategy & development | Retail distribution | Retirement | Institutional asset management

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2017 Core Research Agenda

PRODUCT STRATEGY & DEVELOPMENT

SI FlowWatch Series

The SI FlowWatch Series offers a monthly snapshot from across the SI proprietary databases to deliver clients a concise and detailed update on the most recent month's fund activity. The reports provide robust datasets curated by SI analysts to allow asset managers a quick view of fund flows and asset-levels across fund categories and fund managers. Monthly highlights are also written by SI analysts to convey the most critical developments in the industry. The reports are selectively linked to Simfund to provide clients a means for deeper analysis in terms of dashboards and fund firm profiles.

The six reports cover areas of SI expertise and include:

- Mutual Funds FlowWatch
- ETF FlowWatch
- Variable Annuities FlowWatch
- Global Mutual funds FlowWatch
- Asia Mutual funds FlowWatch
- Europe Mutual funds FlowWatch

Monthly

Monthly Cross-Border Snapshot

Easily accessible updates on both local and cross-border fund activity for each country in Asia and Europe that are of interest to fund managers.

Monthly

European Country Memos

Every two months, our global analyst team provides a comprehensive and deep assessment of the asset management landscape in one European country. These reports provide an analysis of both cross-border and local fund activity within the context of regulation, distributor dynamics and demographic changes.

Bi-Monthly

Exchange-Traded Products (ETPs) Insights

This quarterly report includes information about the growth, sales and product development cycle of ETPs. This includes ETFs, Exchange-Traded Managed Funds (ETMFs), and Exchange-Traded Notes (ETNs). The report sheds light on the key trends in ETF distribution, which includes an overview of retail versus institutional growth, along with a focus on how products are being adopted in individual segments or channels of the market. This analysis is critical for asset managers participating in the ETP market, as well as those evaluating the decision to offer ETPs.

The report provides frameworks for distinguishing trends between different ETP products and strategies to keep firms abreast of developments in a rapidly evolving space. The research will also dedicate perspective on how asset managers are organizing around and marketing ETP product lines. Distributors and service providers also will gain insight from the quantification of trends in the ETP market.

Quarterly

Global Fund Insights

A quarterly publication highlighting the latest product and distribution trends in the European, Cross-border and Asian fund industry. Each issue is driven by thematic trends driving the global industry, and supported with data and insights into critical trends that shape a global vision for clients.

Quarterly

Mutual Fund Insights

On a quarterly basis, Mutual Fund Insights combines quantitative encapsulation of the prior quarter with research features on topics of high relevancy to fund providers. Typical articles cover areas such as product development, trends in pricing, regulatory impact, liquid alternatives, investment performance, and the subadvisory market. A review of new fund filings is included in every issue.

This executive-level publication strives to not only provide a concise update of the previous quarter, but to also raise important questions and observations about the fund industry. The publication keeps clients up-to-date on SI analysts' best thinking and is supported through dialogue with clients.

Quarterly

continued

2017 Core Research Agenda *continued*

New Products in Global Markets

A quarterly publication focusing on new fund launches in Local Asia, Local Europe and Cross-border markets.

Quarterly

Mutual Fund Fee Benchmarking Service

This annual study is a valuable reference guide for benchmarking fund fees and costs, as well as asset management firm profitability (specifically of the fund adviser). The report breaks into three distinct sections:

- Fee and expense benchmarks for actively managed open-end mutual funds aggregated into 62 Morningstar Categories or SI Objectives
- Contractual management fee breakpoint schedule summary statistics for actively managed open-end mutual funds aggregated into 62 Morningstar Categories
- A summary of the financial results of 20 money managers with publicly-held equity

The report is a valuable tool to prepare for board presentations and for educating fund boards on the norms of the industry.

Annual

RETAIL DISTRIBUTION

Distribution Insights – Mutual Funds and ETFs

This quarterly series delivers timely and informative commentaries on key issues within the intermediary-sold fund distribution marketplace. It draws from SI and Broadridge data to inform clients on the competitive distribution landscape and give clients a concise reference on the flows and asset levels within the major distribution channels. The report is linked with Simfund to allow clients a fluid experience of conducting analysis based on the framework within the publication.

Quarterly

RETIREMENT

DC Retirement Insights Focus

The DC Retirement Insights Focus Report is a synthesized version of the quarterly DC Retirements Insights report. This quarterly publication is designed to address the needs of those who lack the time to pore over the wealth of information contained in each issue of DC Retirement Insights. It delivers executive summaries of the feature research article, and vital industry statistics with commentary.

Quarterly

INSTITUTIONAL ASSET MANAGEMENT

Subadvisory Insights Focus

The Subadvisory Insights Focus Report is a synthesized version of the quarterly Subadvisory Insights report. This quarterly publication is designed to address the needs of those who lack the time to pore over the wealth of information contained in each issue of Subadvisory Insights. It delivers executive summaries of the feature research article, and vital industry statistics with commentary.

Quarterly